



JPW

Application No. 10/773,224  
Docket No. 740819-1048

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Taiji NODA ) Examiner  
Serial No. 10/773,224 ) Group Art Unit: 2811  
Filed: February 9, 2004 )  
For: SEMICONDUCTOR DEVICE AND METHOD )  
FOR MANUFACTURING THE SAME )

INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office  
220 20<sup>th</sup> Street, South  
Customer Window, Mail Stop Patent Applications  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia 22202

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

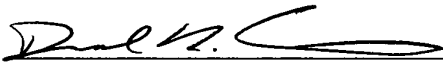
The undersigned certifies that either (1) each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office, a copy of which is enclosed, in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement, or (2) no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after

making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380 (740819-1048).

Respectfully submitted,

By:   
Donald R. Studebaker  
Registration No. 32,815

NIXON PEABODY LLP  
401 9<sup>th</sup> Street, N.W.  
Suite 900  
Washington, D.C. 20004-2128

(202) 585-8000

August 16, 2004



Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Application Number	10/773,224
				Filing Date	February 9, 2004
				First Named Inventor	Taiji NODA
				Art Unit	2811
				Examiner Name	To Be Assigned
Sheet	1	of	1	Attorney Docket Number	740819-1048

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind (if known)				
		JP-11-067779	March 9, 1999	Toru AOYAMA		Abstract
		JP-2000-124144	April 28, 2000	Tomomi SATO et al.		Abstract
		JP-04-256356	September 11, 1992	Toronnamuchiyai KURAISON		Abstract
		JP-07-231088	August 29, 1995	Toronnamuchiyai KURAISON		Abstract
		JP-08-236758	September 13, 1996	James B. BURR et al.		Abstract
		JP-11-261069	September 24, 1999	Mark S. RODDER et al.		Abstract
		JP-11-233769	August 27, 1999	Shoji MIYANAGA		Abstract
		JP-11-087706	March 30, 1999	Michihiko TAKASE		Abstract
		JP-09-219173	August 19, 1997	Masahiko AOKI		Abstract
		JP-09-186171	July 15, 1997	Thomas Edward HAM et al.		Abstract
		JP-08-279612	October 22, 1996	Hisahiro ANZAI		Abstract

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		July 6, 2004, Japanese Office Action for JP 2001-127019	T

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.